

# A Strategy for Security Testing Industrial Firewalls

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# Topics

- 1 Introduction
- 2 Firewalls Under Test
- 3 Test Philosophy
- 4 Test Design
- 5 Implementation and Analysis

# Motivation

Blind trust — Products meet all vendor security claims.

Industrial firewalls provide logical separation between corporate and ICS networks.

- Vulnerabilities can occur in proprietary hardware, firmware, and software
- March 2019: 10-hour DoS attack on US power grid due to unpatched firewall <sup>1</sup>

<sup>1</sup>Western Electric Coordinating Council. Lesson Learned: Risks Posed by Firewall Firmware Vulnerabilities. North American Electric Reliability Corporation. Sept. 2019.

# Contribution

Hypothesis: ICS firewalls do not always provide advertised functionality and are susceptible to exploits launched by open-source software.

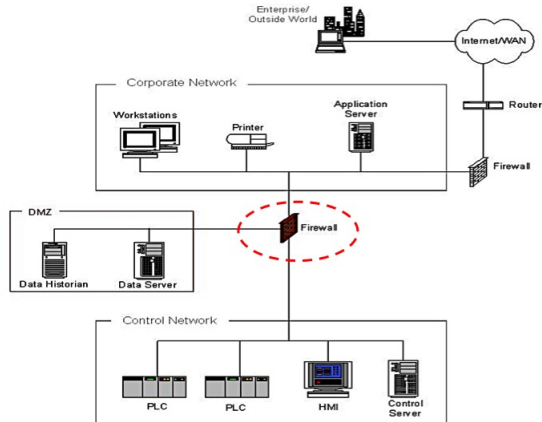
Contribution: A demonstration of a repeatable methodology for testing ICS firewalls.

- Framed around functional, exception, and penetration testing
- Used to verify vendor claims on provided functionality & protection features
- Tested with two commercial ICS firewalls

# Firewalls in ICS Network

## Industrial protocols tested

- Modbus
- EtherNet/IP
  - ▶ CIP
  - ▶ EtherNet/IP
- Remote Method Invocation (RMI)



Source: NIST SP 800-82r2

# Firewalls Under Test

# Tofino Security Appliance (SA)

Model 9211-ET consists of:

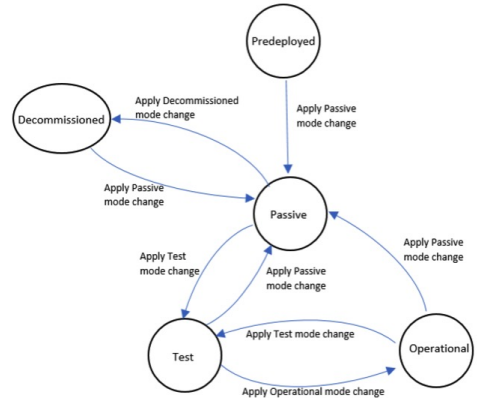
- Hardware base
- Tofino Central Management Platform
- Four loadable security modules (LSM)
  - ▶ Secure Asset Management
  - ▶ Firewall
  - ▶ Event Logger
  - ▶ Modbus TCP Enforcer





# SA Modes

- *Predeployed*: Not configured
- *Passive*: Allow all traffic to pass through
- *Test*: Analyze traffic but does not enforce blocking policy
- *Operational*: Fully functional and blocking traffic per rulesets
- *Decommissioned*: All LSMs are deactivated; SA only listens for commands from CMP



# Tofino Xenon

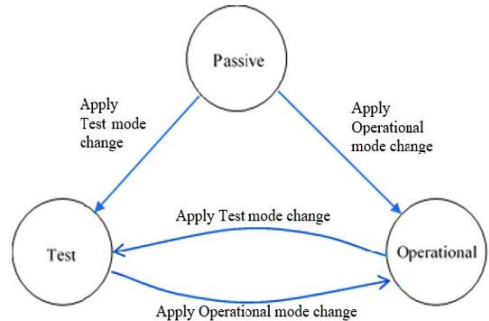
Model TofinoXE-0200T1T1 consists of:

- Hardware base
- Tofino Configurator
- Five loadable security modules (LSM)
  - ▶ NetConnect
  - ▶ Firewall
  - ▶ Event Logger
  - ▶ Modbus TCP Enforcer
  - ▶ EtherNet/IP Enforcer



# Xenon Modes

- *Passive*: Allow all traffic to pass through
- *Test*: Examine, but does not block, traffic
- *Operational*: Fully functional, blocks traffic per rulesets



# Product Claims

## SA

- IP spoofing protection
- Rule creation
  - ▶ Automatic: Based on protocols supported by CMP and PLCs
  - ▶ Assisted: Based on user input derived from CMP log messages
- Secure communications between SA and CMP
  - ▶ Wireshark detected SSH
- Software update must be performed via CMP update interface

## Xenon

- Suggested rule creation based on observed traffic patterns
- SSH communications between Xenon and Configurator
- Software update
  - ▶ Via Configurator update interface
  - ▶ Directly from USB interface

# Known Vulnerabilities

## SA

- No CVE specific to SA
- SA uses OpenSSH v5, which has known vulnerabilities
  - ▶ CVE-2010-5107: Connection-slot exhaustion caused by fixed time limit in login logic
  - ▶ CVE-2017-15906: SFTP server allows creation of zero-length files while in read-only mode

## Xenon

- SUT was automatically updated to v03.2.01 during initial installation
- v03.2.00 fixed several CVEs
  - ▶ CVE-2017-11400: Attacker can modify USB firmware upgrade packages
  - ▶ CVE-2017-11401: Attacker can send malformed/crafted packets Modbus packets
  - ▶ CVE-2017-11402: Attacker can remotely activate rules to bypass firewall

# Test Philosophy

# Flaw Hypothesis Methodology (1)

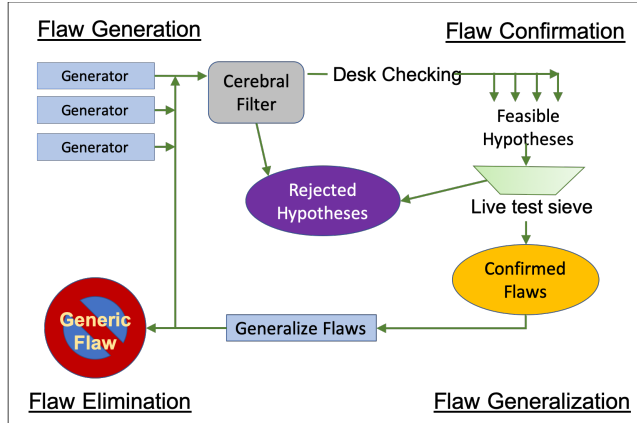
- A way to conduct systematic penetration testing
- Use various forms of evidence to develop counter examples to assertions of truth about the system
  - ▶ Manuals, design documents, verification evidence, etc.
- Support different types of testing
  - ▶ Whitebox, graybox, blackbox
- Most effective if product vendors cooperate

We use the FHM as a guideline for blackbox testing of ICS firewalls

# Flaw Hypothesis Methodology (2)

## Technical stages

- *Flaw Generation*
- *Flaw Confirmation*
- *Flaw Generation*
- *Flaw Elimination*





# How We Used FHM

Our testing was constrained to available public interfaces and documentation

- No binary analysis

## Testing phases

- 1 Review (in detail) vendor documentation, protocols, related CVEs
- 2 Design tests with enumerated expected results
- 3 Execute tests and populate test database
- 4 Analyze test results (expected vs. observed)

## FHM mapping

- Phase 1 →  
Flaw Generation
- Phases 2, 3, 4 →  
Flaw Confirmation
- Back end of Phase 4  
→ Flaw Generation

# Test Design

# Approach

## Assumptions

- Attacker has access to corporate network
- Attacker has intimate knowledge of system and processes
- Firewall is between attacker and PLC

## Scope

- Functional testing
- Exception testing
- Penetration testing

## Phases of operation under test

- Discovery
- Configuration
- Operational

# Test Plan (1)

## Per-test description

- Test objective
- A set of preconditions that must be met before running each test
  - ▶ SUT's mode of operation
  - ▶ Rules to be enforced by active LSMs
  - ▶ Kali Linux configuration
- Test operation to be performed
- Special conditions that affect test execution (as applicable)
  - ▶ Ex: If Modbus LSM is active, must have at least one Modbus rule to test USB load
- Expected results

# Test Plan (2)

## Functional testing

Objective: Verify vendor claims

- Tests using open-source tools (Nessus, Metasploit, Wireshark)
  - ▶ IP spoofing protection
  - ▶ SYN flood protection
  - ▶ Support for rule creation
  - ▶ Modbus LSM functionality
  - ▶ EtherNet/IP LSM functionality (Xenon only)
  - ▶ Secure communications between firewall and management platform
- Tests to verify mode transitions using USB device

# Test Plan (3)

## Exception testing

Objective: Assess how SUT responds to unusual conditions

- Tests to check boundary conditions of Modbus commands and register values
  - ▶ Use Metasploit ModbusClient module
  - ▶ Send FC16 Write and FC03 Read commands with register values exceeding valid range (0-49999)
- Tests to check USB configuration load process for exceptions

# Test Plan (4)

## Penetration testing

Objective: Assess how SUT responds to exploits

- Tests common to both SA and Xenon
  - ▶ ARP poisoning
  - ▶ Web server stack buffer overflow
  - ▶ SSHv2 fuzzing
  - ▶ SSH enumerate users
  - ▶ SSH version scanner
  - ▶ SSH key exchange DoS
  - ▶ Remote *syslog* long tag DoS
- Xenon-specific tests
  - ▶ Java RMI registry interfaces enumeration
  - ▶ Java RMI server insecure endpoint code execution scanner
  - ▶ Java RMI server insecure default configuration Java code execution

# Summary of Tests

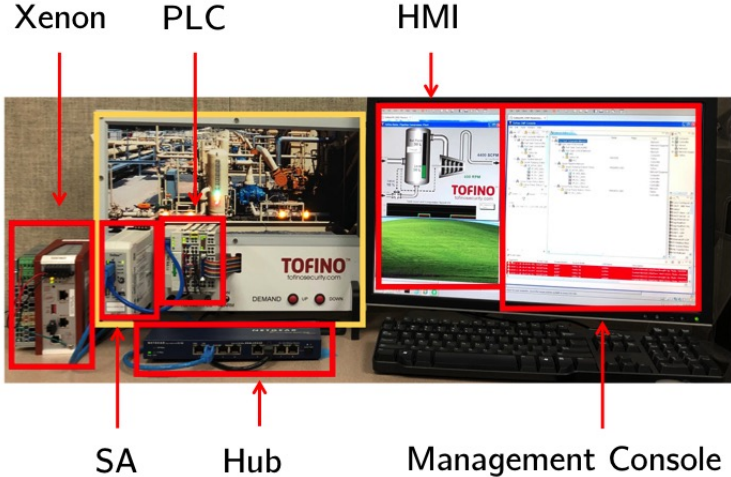
	<b>D</b>	<b>C</b>	<b>O</b>	<b>UC</b>	<b>Total</b>
<b>SA tests</b>					
Functional	4	4	9	5	22
Exception	2	2	2	4	10
Penetration	7	7	7	0	21
<b>Total</b>	<b>13</b>	<b>13</b>	<b>18</b>	<b>9</b>	<b>53</b>
<b>Xenon tests</b>					
Functional	4	4	10	4	22
Exception	2	2	2	3	9
Penetration	10	10	10	0	30
<b>Total</b>	<b>16</b>	<b>16</b>	<b>22</b>	<b>7</b>	<b>61</b>

D=discovery; C=configuration; O=operational; UC=configuration via USB

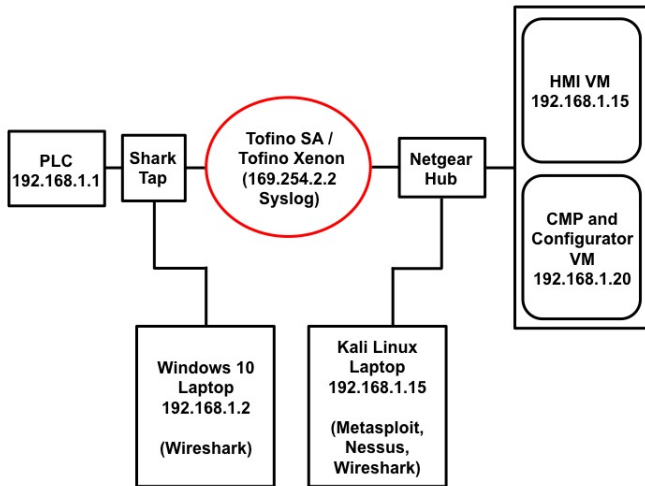


# Implementation and Analysis

# ICS Test Network



# Test Topology



# Metasploit Modules Used for Penetration Testing

<b>Exploit</b>	<b>Metasploit Module</b>
ARP poisoning	auxiliary/spoof/arp/arp_poisoning
ABB web server stack buffer overflow	exploit/windows/scada/abb_wserver_exec
SSH Version 2 fuzzing	auxiliary/fuzzers/ssh_version_2
SSH user enumeration	auxiliary/scanner/ssh/ssh_enumusers
SSH version scanning	auxiliary/scanner/ssh/ssh_version
SSH key exchange DoS	auxiliary/dos/windows/ssh/ shsax_sshd_keyexchange
Rsyslog Logn Tag DoS	auxiliary/dos/syslog/rsyslog_long_tag
Java RMI registry interfaces enumeration	auxiliary/gather/java_rmi_registry
Java RMI server insecure endpoint code execution scanning	auxiliary/scanner/misc/java_rmi_server
Java RMI server insecure default configuration Java code execution	exploit/multi/misc/java_rmi_server

# Test Results

SA	Functional	Exception	Penetration	Total
Discovery	P=3; F=1	P=2; F=0	P=6; F=1	P=11; F=2
Configuration	P=3; F=1	P=2; F=0	P=5; F=2	P=10; F=3
Operation	P=7; F=2	P=2; F=0	P=5; F=2	P=14; F=4
USB Config.	P=0; F=5	P=3; F=1	P=na; F=na	P=3; F=6
	P=59%; F=41%	P=90%; F=10%	P=76%; F=24%	P=72%; F=28%

P=Passed; F=Failed

Xenon	Functional	Exception	Penetration	Total
Discovery	P=3; F=1	P=2; F=0	P=9; F=1	P=14; F=2
Configuration	P=3; F=1	P=2; F=0	P=8; F=2	P=13; F=3
Operation	P=8; F=2	P=2; F=0	P=8; F=2	P=18; F=4
USB Config.	P=4; F=0	P=3; F=0	P=na; F=na	P=7; F=0
	P=82%; F=18%	P=100%; F=0%	P=85%; F=15%	P=85%; F=15%

# SA Failed Functional Tests

Test	Expected	Observed
<b>Functional testing</b>		
[DP] SYN flood (in Pasive mode)	SA allows all traffic	SA blocked exploit
[CP] SYN flood w/ PPS rate of 10	SA enforces PPS rate limit	SA blocked exploit
[OP] 1. Address spoofing – IP Only [OP] 2. SYN flood w/ PPS rate of 10	1. SA blocks Nessus (FW rules) 2. SA enforces PPS rate limit	1. SA blocked scan (Modbus rules) 2. SA blocked exploit
[UC] 1. Mode Change via USB, P → T	1. Successful mode change	1. Unsuccessful mode change
[UC] 2. Mode Change via USB, T → O	2. Successful mode change	2. Unsuccessful mode change
[UC] 3. Mode Change via USB, T → P	3. Successful mode change	3. Unsuccessful mode change
[UC] 4. Mode Change via USB, O → P	4. Successful mode change	4. Unsuccessful mode change
[UC] 5. Mode Change via USB, O → T	5. Successful mode change	5. Unsuccessful mode change

Modes: P=Passive; T=Test; O=Operational / Phases: DP=Discovery; CP=Configuration; OP=Operational / UC=USB Configuration

# SA Failed Exception and Penetration Tests

Test	Expected	Observed
<b>Exception testing</b>		
[UC] Mode Change via USB, P → O	SA denies requested mode change	SA transitioned from P to O
<b>Penetration testing</b>		
[DP] Rsyslog malformed tag DoS	SA allows msg to PLC; CMP accepts msg	SA allowed msg to PLC; CMP rejected msg
[CP] 1. Rsyslog malformed tag DoS	1. SA blocks msg to PLC; CMP accepts msg	1. SA blocked msg to PLC; CMP rejected msg
[CP] 2. ARP poisoning	2. Asset inventory is updated with spoofed assets; ARP table is poisoned	2. Asset inventory was not updated; ARP table was not poisoned
[OP] 1. Rsyslog malformed tag DoS [OP] 2. ARP poisoning	1. Same as Configuration, Test 1 2. Same as Configuration, Test 2	1. Same as Configuration, Test 1 2. Same as Configuration, Test 2

Modes: P=Passive; T=Test; O=Operational / Phases: DP=Discovery; CP=Configuration; OP=Operational / UC=USB Configuration

# Xenon Failed Functional Tests

Test	Expected	Observed
<b>Functional testing</b>		
[DP] SYN flood (Passive mode)	Xenon allows all traffic	Xenon blocked exploit
[CP] SYN flood with PPS rate=10	Xenon enforces PPS rate limit	Xenon blocked exploit
[OP] 1. Address spoofing – IP Only	1. Xenon blocks Nessus scan per Modbus ruleset	1. Xenon did not block scan
[OP] 2. SYN flood with PPS rate=10	2. Xenon enforces PPS limit	2. Xenon blocked exploit

Modes: P=Passive; T=Test; O=Operational / Phases: DP=Discovery; CP=Configuration; OP=Operational / UC=USB Configuration



# Xenon Failed Penetration Tests

Test	Expected	Observed
<b>Penetration testing</b>		
[DP] Rsyslog malformed tag DoS	Xenon allows message to PLC; Configurator accepts message	Xenon allowed message to PLC and blocked msg to Configurator
[CP] 1. Rsyslog malformed tag DoS	1. Xenon blocks message to PLC; Configurator accepts msg	1. Xenon allowed message to PLC and blocked msg to Configurator
[CP] 2. ARP poisoning	2. Asset inventory is updated with spoofed assets; ARP table is poisoned	2. Asset inventory was unchanged; ARP table was not poisoned
[OP] 1. Rsyslog malformed tag DoS	1. Same as CP, Test 1	1. Xenon blocked messages to PLC and Configurator
[OP] 2. ARP poisoning	2. Same as CP, Test 2	2. Same as Configuration, Test 2

Modes: P=Passive; T=Test; O=Operational / Phases: DP=Discovery; CP=Configuration; OP=Operational / UC=USB Configuration

# Summary

## Conclusion

- Our tests did not reveal any major issues with the vendor claims
- Notable observations
  - ▶ IP spoofing protection only worked when both IP and MAC addresses were spoofed
  - ▶ Mode change did not behave as expected when SA was in Test mode

## Future work

- Test Xenon with PLCs supporting EtherNet/IP natively
- Add fuzz testing
- Include other industrial firewalls
  - ▶ Stratix 5950 Security Appliance uses Cisco firewall technology — Known to be susceptible to common exploits, e.g., ICS-CERT Advisory ICSCSA-18-184-01

# Questions

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